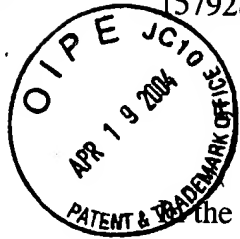


157928-0006



2134
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the U.S. Patent Application of:

Inventor(s) : Warnock et al

Serial No. : 09/498,944

Filed : 02/04/2000

For : METHOD AND APPARATUS FOR
IMPROVED INFORMATION
TRANSACTIONS

Examiner : M. E. Heneghan

Art Unit : 2134

CERTIFICATE OF MAILING

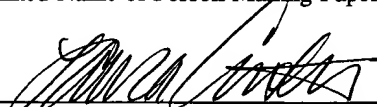
UNDER 37 CFR 1.8

April 14, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service as First Class Mail on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

Laura Custer

Typed or Printed Name of Person Mailing Paper or Fee


Signature of Person Mailing Paper or Fee

TRANSMITTAL LETTER

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

APR 22 2004

Technology Center 2100

Sir:

Transmitted herewith are the following:

- ☒ Fee Transmittal form PTO/SB/17;
- ☒ Information Disclosure Statement, and form PTO/SB/08A with references;
- ☒ Postcard acknowledging receipt of the documents transmitted; and
- ☒ Check for \$180.00, for filing Information Disclosure Statement

No additional fees are believed to be due. If an extension of time is required for this paper or later filed papers, please consider this a petition for the required extension of time. Please charge any required petition fees, and any other fees, except for payment of the issue fee, and charge any underpayment or credit any overpayment during the prosecution of this application to our **Deposit Account No. 09-0946** for which purpose a **duplicate of this paper is enclosed**.

Respectfully submitted,



Raj Sardesai, Registration No. 39,825

IRELL & MANELLA LLP

1800 Avenue of the Stars, Suite 900

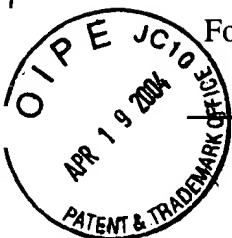
Los Angeles, CA 90067

Telephone: (310) 277-1010

Facsimile: (310) 203-7199

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the U.S. Patent Application of:)
Inventor(s) : Warnock et al)
Serial No. : 09/498,944)
Filing Date : 02/04/2000)
For : METHOD AND APPARATUS FOR)
IMPROVED INFORMATION TRANSACTIONS)



INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(c)(2)

RECEIVED

APR 22 2004

Technology Center 2100

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted with Disclosure Form PTO/SB/08A. The references disclosed are submitted in compliance with the duty of disclosure as defined in 37 CFR § 1.56. The Examiner is requested to consider and make the references of official record in the Application.

The submission of the above-indicated references is not to be construed as a representation that an exhaustive search has been made, that additional information material to the examination of this Application does not exist, or that the references, either alone or in combination with other references, constitute prior art under 35 U.S.C. § 102 or § 103.

Respectfully Submitted,

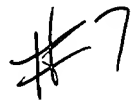
Raj Sardesai, Registration No. 39,825

04/20/2004 SDENB01 00000056 09498944

01 FC:1806

180.00 OP

IRELL & MANELLA LLP
1800 Avenue of the Stars, Suite 900
Los Angeles, California 90067
(310) 277-1010



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

(Use as many sheets as necessary)

Sheet	1	of	1
-------	---	----	---

Application Number	09/498,944
Filing Date	02/04/2000
First Named Inventor	Warnock
Art Unit	2134
Examiner Name	M. E. Heneghan
Attorney Docket Number	157928-0006

[illegible][illegible]

**Examiner
Signature**

Date	
Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.